

1 **Reliability, repeatability and comparison to normal of a set of new**
2 **stereophotogrammetric parameters to detect trunk asymmetries**

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12

13 **Abstract**

14 **Background**

15 Aesthetic impairment is a crucial issue in Adolescent Idiopathic Scoliosis (AIS), but to date there is not an
16 objective measurement.

17 **Objective**

18 Aim of the study is to evaluate the repeatability of 17 parameters measured by surface topography in a
19 group of AIS subjects and verify their diagnostic validity.

20 **Methods**

21 The paper is divided into three cross-sectional observational studies. We evaluated 17 selected surface
22 topography parameters that could be good predictors of scoliosis' impact on the patients' trunk. We
23 analysed short-term (30 seconds, 38 subjects) and medium-term (90 minutes, 14 subjects) repeatability of
24 surface topography measures and their diagnostic validity in AIS (74 subjects, 33 AIS patients and 41
25 healthy subjects).

26 **Results**

27 All examined parameters were highly correlated as far as short and medium-term repeatability is
28 concerned. We found a statistically significant difference between the scoliosis group and the control group
29 in 3 surface rotation parameters, 1 shoulders parameter and 3 waist parameters.

30 **Conclusions**

31 In conclusion, surface topography showed a good repeatability. Moreover, some of its parameters are
32 correlated with AIS, enabling us to find differences between pathological and healthy subjects. Thanks to
33 these findings, it will be possible to develop a tool that can objectively evaluate aesthetics in AIS patients.

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35

36 **Keywords:** Adolescent Idiopathic Scoliosis; Aesthetics; Trunk deformity; Surface Topography

37 Introduction

38 Adolescent idiopathic scoliosis (AIS) is a fairly common disease: epidemiological studies estimate that 1%–
39 3% of the at-risk population (children aged 10–14) have a curvature of at least 10° (1), and approximately 3
40 in 1000 adolescents require specific treatment (2). By definition, AIS affects patients from the age of 10
41 years until bone maturity; it is more common in girls than in boys (3). AIS does not usually cause clinically
42 important pain during growth. Nevertheless, the deformity has a significant impact on the quality of life of
43 scoliotic patients and, in some cases, important psychological consequences (4–7). Many orthopaedic
44 surgeons agree on the importance of aesthetic deformity in the treatment of scoliosis, its severity being the
45 most important aspect considered when proposing a surgical treatment to the patients (8). Aesthetic
46 deformity due to scoliosis and its impact on the patient is considered by the members of SOSORT
47 (International Society On Scoliosis Orthopaedic and Rehabilitation Treatment) as the most important
48 reason for treating AIS; unfortunately, only a few of scoliosis studies were found in PubMed on this topic
49 (9).

50 Some of the above-mentioned studies report on tools for self-evaluation. For instance, some
51 questionnaires included a domain for the evaluation of the aesthetics of the scoliotic patient, such as the
52 SRS-22 (10). Other tools were developed specifically for the assessment of the aesthetic deformity
53 perceived by scoliotic patients (or scoliotic patients' relatives). These tools are the "Walter Reed Visual
54 Assessment Scale" (6), the "Spinal Appearance Questionnaire" (11) and the recent "Trunk Appearance
55 Perception Scale" (12). They can be used to assess subjective perception of the aesthetic deformity but
56 cannot describe the objective aesthetic deformity. They are more concerned with psychological damage
57 due to scoliotic deformity than with the deformity itself. However, it is important to find a method of
58 objectively assessing the deformity caused by scoliosis, this being one of the possible resulting impairments
59 (13). There are a few such methods, for example, the Posterior Trunk Symmetry Index (POTSI), the Anterior
60 Trunk Symmetry Index (ATSI) (14) or the Trunk Aesthetic Clinical Evaluation (TRACE) (15). However, the
61 POTSI and ATSI are difficult to use in everyday clinical practice, and the TRACE is operator dependent and
62 does not have good repeatability.

63 A possible more objective way to assess the deformity is by using surface topography, such as
64 rasterstereography (16). A set of parameters can be measured by rasterstereography regarding waist,
65 shoulder and scapulae asymmetries; surface rotation and sagittal measures, but so far, its reliability has not
66 been documented. Moreover, we do not know if these correlate with the deformity, even if they are an
67 instrumental evaluation of the parameters usually evaluated in the clinical assessment of the trunk. These
68 parameters could be instrumental to obtain a measure not of skeletal deformity, but of the sum of skeletal
69 and soft tissue deformity. A tool of this kind could not, of course, replace the role of x-rays for diagnosis,
70 but can give us a completely new measure of asymmetry not currently available, and that can be strongly
71 linked to aesthetic impact of scoliosis.

72 In order to validate this instrument for clinical practice, the first step and aim of this study is to evaluate the
73 repeatability of the parameters measured by surface topography in a group of AIS subjects and to test if
74 they can distinguish healthy subjects from AIS patients in order to develop an objective tool for deformity
75 evaluation of the trunk in AIS patients.

76

77 **Materials and methods**

78 **Design of the study**

79 This was a cross-sectional diagnostic study that included the short-term (30 seconds) and medium-term (90
80 minutes) repeatability of surface topography measures and their diagnostic validity in AIS.

81 **Setting**

82 Tertiary specialized clinic.

83 **Samples**

84 We analysed different samples because we wanted to investigate different characteristics of the surface
85 topography parameters considered. For the repeatability evaluation, 38 consecutive AIS subjects with no
86 other concurrent spinal pathologies were included (34 females) by convenience sampling from among
87 patients attending our institute. All of them participated in the short-term repeatability study, which was
88 based on two sets of measures, with a 30-second rest between them. Fourteen of them were retested 90
89 minutes after the first assessment in order to assess the medium-term repeatability and to take into
90 consideration the possible postural reassessment that probably do not happen in just 30 seconds. We
91 collected the sample during May-June 2013.

92 For diagnostic validity, we used a database of 398 consecutive adolescents who underwent a surface
93 topography examination at our institute between January 2012 and June 2013. We excluded those with a
94 diagnosis of spinal pathology other than scoliosis (Scheuermann's disease, spondylolisthesis and
95 spondylolysis) and those under treatment with a brace. In clinical practice stereostereography is regularly used
96 for sagittal spine issues and only seldom for scoliosis, so after this selection, only 74 patients remained and
97 were included in the study; we further divided them into two groups. In the scoliosis group (SG, 33
98 patients) we included all patients who had a scoliotic curve (measured on an x-ray) of 11° Cobb or more
99 and with 5° or more of the Bunnell angle of trunk rotation (ATR). The control group (CG) consisted of the
100 other 41 participants. of Participant characteristics are reported in tables 1 and 2.

101 We did not perform a sample size calculation, because no similar study was available from which to obtain
102 data for this purpose.

103 **Device**

104 For our evaluations, we used a device for surface topography based on the principles of stereostereography.
105 This device (Formetric™, Diers Biomedical Solutions) can reconstruct digitally in three dimensions the back
106 of any person. It does not require radiation nor reflective markers, as it can find anatomic markers using a
107 "touchless" technique without manual intervention. For the data collection, we used a standard machine.
108 Data were then elaborated using a software specifically designed and currently not implemented in other
109 machines.

110 Evaluations with this device give many parameters as outcomes. We selected 15 parameters that we
111 consider good predictors of the aesthetic impact of the scoliotic patient's spine. They can be divided into
112 four main groups: parameters which take into consideration the whole back of the patient and those
113 parameters which take into consideration the patient's shoulders [figure 1], thorax or waist. We list and
114 describe them in appendix 1. The outcome of the study has a feasibility character because all measurement
115 results are based on a preliminary testing software, which is not clinically verified and evaluated. The result
116 can be a platform for further developments and improvements.

117 **Methodology and data analysis**

118 To assess repeatability, the same operator, trained in the use of the device, performed all the tests twice in
119 a clinical setting. The short-term repeatability test required an immediate repetition of the exam after
120 some trunk movements (30 seconds), whereas for medium-term repeatability, the second exam was
121 repeated after an exercise therapy session (90 minutes).

122 Concerning both short- and medium-term repeatability, we Pearson's correlation analysis and checked the
123 dispersion of the data obtained by measuring the R-squared. We also used the Bland and Altman statistics
124 (17) for each of the surface topography parameters in order to identify the minimum change in a
125 parameter required to determine with certainty a real change (repeatability coefficient). These data are
126 useful to have in everyday clinical practice.

127 As far as diagnostic validity is concerned, we tested for a statistically significant difference in the personal
128 and anthropometric parameters between the two groups by using a *t*-test for independent samples (age,
129 weight, height, BMI, time since menarche). We then compared the two samples using a χ^2 test and tested
130 for a statistically significant difference between the two groups in the surface topography parameters by
131 using a *t*-test for independent samples.

132 All the patients or their parents (in the case of minors) gave written informed consent, and the local ethical
133 committee authorized this study.

134 **Results**

135 Of the 38 patients who performed the short-term repeatability test, 18 were performing regular specific
136 exercise therapy (18) and 25 were wearing a brace for an average of 16.7 hours per day (DS 5.2). Patient
137 characteristics are reported in tables 1 and 2.

138 For the medium-term repeatability test, all patients were performing exercise therapy, and 11 of them
139 were wearing a brace for 19.1 hours a day on average (DS 4.0). Patient characteristics are reported in
140 tables 1 and 2.

141 As far as diagnostic validity sample is concerned, the scoliotic group had a mean Cobb angle of the principal
142 curve of 25.8° (DS 14.7)[tables 1,2 and 3]. Using a *t*-test for independent samples we could not find any
143 statistical significant difference between the group of scoliotic patients and healthy subjects in the personal
144 and anthropometric parameters samples (age, weight, height, BMI, time since menarche).

145 **Repeatability correlations**

146 All examined parameters were highly correlated [table 3]. In short-term repeatability analysis, we found a
147 strong correlation for each value ($r > 0.5$) except thorax thoracic torsion, for which we found a good
148 correlation ($r = 0.338$). In the medium term, only two parameters had an $r < 0.5$: surface rotation max ($r =$
149 0.329) and thorax axilla height difference ($r = 0.275$). Nevertheless, the data were skewed ($R\text{-square} > 0,5$)
150 for 8 out of 17 parameters in short-term repeatability and 10 out of 17 in medium-term repeatability [table
151 3].

152 **Repeatability coefficient**

153 Concerning short-term repeatability, we found high values (less clinically reliable parameters) for surface
154 rotation max, thoracic torsion, axilla height difference, waist bottom angle difference, waist-arm distance
155 difference and waist height difference. Concerning medium-term repeatability, we found high values for
156 lordotic angle, surface rotation max, thoracic torsion, thorax axilla height difference, waist top angle
157 difference and waist lumbar torsion [table 3].

158 **Diagnostic validity**

159 We found a statistically significant difference between the scoliosis group and the control group in the
160 parameters that measured the surface rotation (surface rotation root mean square (rms) deg, surface
161 rotation max deg, surface rotation amplitude deg) [Table 4]. Concerning the shoulders, there was a
162 statistically significant difference only in the shoulder slope difference, whereas for the thorax, there were
163 no statistically significant differences. Waist parameters showed a statistically significant difference in the
164 waist bottom angle, waist lumbar torsion and waist height difference.

165 **Discussion**

166 The aim of this paper was to verify the repeatability and diagnostic validity of a newly developed set of
167 surface topography parameters. This is a basic requirement before they are used in clinical practice to
168 objectively evaluate the deformity of scoliosis patients. We found the short-and medium-term repeatability
169 to be good for almost all parameters. We also found that some parameters could distinguish healthy
170 subjects from scoliosis patients: these are related to surface rotation (standing humps) and waist shape, in
171 contrast to shoulder and upper thorax characteristics.

172 Theoretically, the most important reasons why aesthetic parameters could have a low repeatability are the
173 following: 1. The error due to the device used; 2. The error due to the way the exam is executed; 3. The
174 error due to postural changes of the patient. However, it has already been proven that the accuracy of
175 surface topography is very good (19), and there are no critical issues with the execution of the exam: in
176 fact, the patient indications for the exam are few and simple, and the exam is rapid to perform. Even if this
177 last aspect could have influenced our work, postural changes are the main issue that we should consider.
178 The ability (or incapability) of the patient to maintain posture over a short or medium time span is one of
179 the main issues not only for our work but also for all devices used to assess surface topography of the spine
180 and, in general, for all postural exams (13). It is interesting to note that some parameters have better
181 medium-term than short-term repeatability. This probably means it is easier that for the patient to assume
182 the same original relaxed posture after 1.5 hours than after rapid mobilization of the spine.

183 Although some parameters have a high repeatability coefficient (measured using the statistical method of
184 Bland and Altman), correlation between the first and the second measures and R-squared were good. Two
185 examples are the shoulder slope difference and shoulder height difference.

186 In 2012 a paper was published that measured the repeatability of another surface topography device for
187 the evaluation of the trunk in scoliotic and non-scoliotic patients (20). To verify the repeatability of the
188 device, the authors used the correlation between the values obtained in two different sessions; their
189 correlation analysis results were similar to ours. Their conclusion was that the device they used for
190 topographic evaluation had a good repeatability.

191 The population considered in this study was rigorously selected, focusing exclusively on scoliosis; this
192 reduced the sample size but gave more strength to the results. Unfortunately, this precluded the possibility
193 of looking at different sub-populations of scoliosis patients. It is possible that the diagnostic validity would
194 be higher in higher degree scoliosis and/or subpopulations with different topographic classifications. This
195 aspect should be investigated further in future studies.

196 A comparison with a normal population has not been performed for other objective aesthetic evaluation
197 tools like TRACE (15) and POTSI (21). Conversely, it is the basis of subjective evaluations using
198 questionnaires (11,12,22); however, these data are not comparable to ours. A study compared surface

199 measurements obtained through ISIS in a normal population of two different ages (10–16 vs 21–59),
200 without identifying specific differences (23).

201 Although an improved aesthetic is considered as a possible outcome of scoliosis treatment (8,9), the
202 currently existing tools are only subjective and consequently reflect the psychological attitudes of patients
203 more than the objective reality. This is a serious limitation for clinics and research.

204 This study has some limitations: firstly, the sample size was quite small; nevertheless, it was large enough
205 to demonstrate that our hypothesis was correct. We could not perform a subgroup analysis to test if some
206 curve patterns are more correlated with surface topography findings; therefore, we probably missed some
207 relevant information. Moreover, we used a dedicated software for the analysis, that still needs some
208 testing and fine tuning being this the first clinical test so far. The patients with a low r-factor are related to
209 new selected and not verified parameter. In a clinical trial and iteration process this result can be improved.

210 In conclusion, surface topography showed a good repeatability. Moreover, some of its parameters are
211 correlated with scoliosis, showing that could very well evaluate deformity due to this pathology. Thanks to
212 these findings, it will be possible to develop a tool that can objectively evaluate aesthetics in AIS patients.

213 **Conflict of interest statement**

214 FN has no conflict of interest

215 KH is employed at the company Diers

216 SD has no conflict of interest

217 FZ has no conflict of interest

218 SN owns stock of ISICO, consults Medtronic and is on the Scientific Advisory Board of Janssen
219 Pharmaceutical

220

221 **Founding**

222 No founding received.

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279

280 **Appendix 1. Surface topography parameters**

281 **Back**

282 The following parameters take into consideration the whole back of the patient:

- 283 • Kyphotic angle ICT-ITL max: represents the angle between the tangents to the sagittal curve in the
284 cervico-thoracic inversion and the thoraco-lumbar inversion. This is the maximum kyphotic angle.
- 285 • Lordotic angle ITL-ILS max: represents the angle between the tangents to the sagittal curve in the
286 thoraco-lumbar inversion and the lumbo-sacral inversion. This is the maximum lordotic angle.
- 287 • Surface rotation RMS/max and amplitude: represents the surface rotation and the rotation of the
288 vertebral bodies. RMS means root mean square.

289 **Shoulders**

290 The following parameters take into consideration the patient's shoulders:

- 291 • Shoulder slope: the rasterstereography measures the angle between each of the two shoulders and
292 a straight line parallel to the ground and calculates, in degrees, the difference in the angulation of
293 the two shoulders (slope height differential).
- 294 • Shoulder height differential: the rasterstereography draws a straight line passing through the two
295 acromial processes and calculates the angulation between it and a straight line parallel to the
296 ground.

297 **Thorax**

298 The following parameters take into consideration the patient's thorax:

- 299 • Scapula angle: the rasterstereography measures the angulation between each of the two scapulae
300 and a straight line parallel to the ground and calculates, in degrees, the difference in the angulation
301 of the two scapulae (scapula angle differential).
- 302 • Thoracic torsion: the rasterstereography measures the torsion of the torso seen from above, taking
303 as markers the straight line passing through the middle point of the axilla and the straight line
304 passing through the Fossae lumbales laterales (dimples of Venus).
- 305 • Axilla height differential: the rasterstereography draws the straight line passing through the middle
306 points of the axillae and calculates the angulation between it and a straight line parallel to the
307 ground.

308 **Waist**

309 The following parameters take into consideration the patient's waist:

- 310 • Waist opening angles: the rasterstereography measures the amplitude in degrees of the two waist
311 opening angles and calculates the difference between the two of them (opening angle differential).
- 312 • Waist top angles: the surface topography measures the amplitude in degrees of the two waist top
313 angles and calculates the difference between them (top angle differential).
- 314 • Waist bottom angles: the rasterstereography measures the amplitude in degree of the two waist
315 bottom angles and calculates the difference between them (bottom angle differential).

- 316
- 317
- Normalized waist triangle area: the rasterstereography measures the area of the two waist triangles and calculates the difference between them, in percentage of the total.
- 318
- 319
- 320
- Waist arm distance: the rasterstereography measures the maximum distance between the patient's arm and basin, measures the height of the waist triangle and calculates the difference (waist arm distance differential) between them, in percentage of the total.
- 321
- 322
- 323
- Waist height differential: the rasterstereography draws a straight line passing through the vertex of the two waist triangles and calculates the angulation between it and a straight line parallel to the ground.
- 324
- 325
- 326
- Waist lumbar torsion: the rasterstereography measures the lumbar torsion seen from above, taking as markers the straight line passing through the vertex of the two waist triangles and the straight line passing through the Fossae lumbales laterales (dimples of Venus).
- 327

328 **Tables**

329 Table 1: Demographic data, Cobb degrees and hours of brace of our samples.

330

	Age	Height (cm)	Weight (kg)	BMI	Distance to Menarche (years)	Cobb Max	Hours of bracing
Short term repeatability							
N	38	38	38	38	24	38	25
Min	10	143	32,0	12,98	,12	11	8
Max	20	181	71,5	24,59	6,82	70	23
Mean	14,5	161,4	51,2	19,5	3,1	28,8	16,7
STD	2,5	9,0	10,1	2,7	2,0	13,1	5,2
Medium term repeatability							
N	14	14	14	14	10	14	11
Min	12,7	153	33,0	13,56	1,08	10	12
Max	19,6	179	63,0	24,59	6,82	70	23
Mean	15,1	161,5	52,2	19,9	3,5	33,1	19,1
STD	2,3	7,2	8,9	2,8	2,0	14,7	4
Diagnostic validity							
N	74	74	74	74	41	41	NA
Min	10,0	30,0	138,0	14,0	0,0	11	NA
Max	16,4	79,0	186,0	27,0	4,4	71	NA
Mean	13,4	49,9	162,5	18,8	1,5	25,8	NA
STD	1,6	9,9	10,3	2,5	1,2	14,7	NA

331

332

333 Table 2: Trace and Risser data of our samples.

334

	Trace	Risser
Short term repeatability		
Median	4	2
Min	1	0
Max	9	5
Medium term repeatability		
Median	3	3
Min	1	0
Max	9	5
Diagnostic validity (scoliotic group)		
Median	6	2
Min	1	0
Max	12	5

335

336 Table 3: Repeatability correlations and coefficients of the rasterstereography parameters.

337

	Short term				Medium term		
	Pearson	R square	Repeatability coefficient		Pearson	R square	Repeatability coefficient
Cyphotic Angle Max	0,924	0,855	10,06		0,873	0,762	13,97
Lordotic Angle Max	0,872	0,760	10,67		0,559	0,313	20,12
Surface Rotation Rms °	0,661	0,437	4,13		0,685	0,469	3,87
Surface Rotation Max °	0,619	0,246	23,99		0,330	0,109	29,33
Surface Rotation Amplitude °	0,781	0,657	6,74		0,804	0,646	6,98
Shoulder slope difference R-L °	0,766	0,587	8,17		0,840	0,706	8,30
Shoulder height difference R-L °	0,815	0,665	2,97		0,865	0,749	3,39
Thorax scapula angle difference R-L °	0,694	0,481	15,80		0,855	0,732	11,38
Thorax thoracic torsion °	0,338	0,114	9,18		0,678	0,460	9,82
Thorax axilla height difference R-L °	0,612	0,374	3,50		0,276	0,076	6,68
Waist opening angle difference R-L °	0,724	0,524	20,25		0,912	0,833	12,82
Waist top angle difference R-L °	0,862	0,743	5,94		0,646	0,417	13,22
Waist bottom angle difference R-L °	0,620	0,384	17,08		0,925	0,856	6,77
Waist triangle area (normalized) difference R-L %	0,842	0,709	22,49		0,917	0,840	19,69
Waist waist-arm distance difference R-L %	0,534	0,285	45,01		0,916	0,839	25,80
Waist height difference °	0,596	0,355	9,94		0,882	0,779	5,27
Waist lumbar torsion °	0,622	0,387	3,21		0,572	0,327	4,61

338

339 Table 4: Results of t-test for independent samples between the group of scoliotic patients and healthy
 340 subjects for the rasterstereography parameters.

341

		N	Mean	STD	T-test (sig.)
Kyphotic Angle ICT-ITL max	Control group	41	42,93	12,16	0,21
	Scoliotic group	33	46,06	8,13	
Lordotic Angle ITL-ILS max	Control group	41	39,59	9,91	0,14
	Scoliotic group	33	42,79	8,21	
Surface Rotation Rms	Control group	41	5,61	2,41	0,00
	Scoliotic group	33	3,70	1,57	
Surface Rotation Max	Control group	41	10,61	3,96	0,00
	Scoliotic group	33	7,27	2,49	
Surface Rotation Amplitude	Control group	41	16,27	6,12	0,00
	Scoliotic group	33	9,24	2,84	
Shoulder slope difference R-L	Control group	41	5,29	3,69	0,03
	Scoliotic group	33	3,45	3,54	
Shoulder height difference R-L	Control group	41	1,63	1,13	0,75
	Scoliotic group	33	1,55	1,25	
Thorax scapula angle difference R-L	Control group	41	8,41	9,65	0,52
	Scoliotic group	33	9,73	7,35	
Thorax thoracic torsion	Control group	41	2,39	1,87	0,64

	Scoliotic group	33	2,21	1,29	
Thorax axilla height difference R-L	Control group	41	2,15	2,08	0,87
	Scoliotic group	33	2,06	2,36	
Waist opening angle difference R-L	Control group	41	7,34	5,45	0,19
	Scoliotic group	33	5,70	5,06	
Waist top angle difference R-L	Control group	41	3,34	4,99	0,56
	Scoliotic group	33	2,73	3,66	
Waist bottom angle difference R-L	Control group	41	7,51	6,05	0,00
	Scoliotic group	33	4,00	3,18	
Waist triangle area (normalized) difference R-L %	Control group	41	15,46	13,47	0,47
	Scoliotic group	33	13,15	13,56	
Waist waist-arm distance difference R-L %	Control group	41	12,78	12,38	0,36
	Scoliotic group	33	15,45	12,62	
Waist height difference	Control group	41	3,90	4,01	0,04
	Scoliotic group	33	2,42	2,02	
Waist lumbar torsion	Control group	41	1,59	1,28	0,00
	Scoliotic group	33	0,64	0,65	

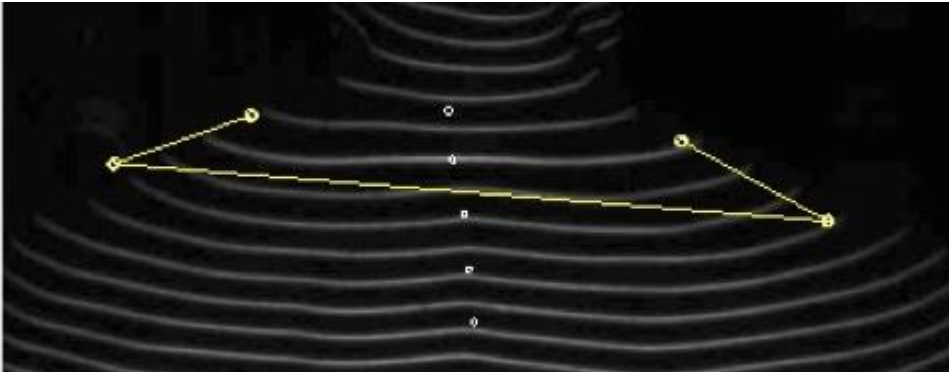
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345 **Figures**

346 Fig. 1: An example of parameters we can measure using rasterstereography: Shoulders slope and Shoulders
347 height differential.



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